[10191/2063]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)	:	Klaus WINTER et al.
Serial No.	:	To Be Assigned
Filed	:	Herewith
For	:	MODEL-BASED LANE ALLOCATION FOR VEHICLES
Art Unit	:	To Be Assigned
Examiner	:	To Be Assigned

Assistant Commissioner for Patents
Washington, D.C. 20231

PRELIMINARY AMENDMENT AND
37 C.F.R. § 1.125 SUBSTITUTE SPECIFICATION STATEMENT

SIR:

Please amend the above-identified application before examination, as set forth below.

IN THE SPECIFICATION AND ABSTRACT:

In accordance with 37 C.F.R. § 1.121(b)(3), a Substitute Specification (including the Abstract, but without claims) accompanies this response. It is respectfully requested that the Substitute Specification (including Abstract) be entered to replace the Specification of record.

IN THE CLAIMS:

Please cancel claims 1-5, without prejudice.

Please add the following new claims:

6. (New) A method for the lane allocation of consecutive vehicles on multi-lane roads, comprising:

carrying out the lane allocation in a model-based manner via a frequency distribution of lateral displacements of detected radar objects.

7. (New) A device comprising:

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means for carrying out a lane allocation in a model-based manner via a frequency distribution of lateral displacements of detected radar objects; and

means for correlating a determined frequency distribution with one of (a) stored models for frequency distributions of lateral displacements, relating to lane allocation for multi-lane roads having a defined width and (b) characteristic lateral displacement histograms for different lanes used by a succeeding vehicle.

8. (New) The device according to claim 7, further comprising:

means for outputting a model part having a highest correlation to the determined frequency distribution as a lane hypothesis, the lane hypothesis including a number of lanes and a lane used by one's own vehicle.

9. (New) A method for detecting a misalignment of a sensor on the basis of reflection, comprising:

detecting a horizontal misalignment from a position of average values for lanes in a histogram with respect to a vehicle axis.

10. (New) A device comprising:

means for storing, with equivalent object treatment, a first histogram for a lateral displacement of a detected object and a second histogram for a distance of a detected object; and

means for determining a misalignment angle of a sensor by determining a centroid of the first and second histograms.

Remarks

This Preliminary Amendment cancels without prejudice claims 1 - 5 in the underlying PCT Application No. PCT/DE01/01190, and adds without prejudice new claims 6 - 10 . The new claims conform the claims to U.S. Patent and Trademark Office rules and do not add new matter to the application.

In accordance with 37 C.F.R. § 1.121(b)(3), the Substitute Specification (including the Abstract, but without the claims) contains no new matter. The amendments reflected in the Substitute Specification (including Abstract) are to conform the
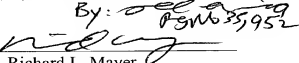
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Specification and Abstract to U.S. Patent and Trademark Office rules or to correct informalities. As required by 37 C.F.R. § 1.121(b)(3)(iii) and § 1.125(b)(2), a Marked Up Version Of The Substitute Specification comparing the Specification of record and the Substitute Specification also accompanies this Preliminary Amendment. Approval and entry of the Substitute Specification (including Abstract) is respectfully requested.

The underlying PCT Application No. PCT/DE01/01190 includes an International Search Report, dated August 14, 2001. The Search Report includes a list of documents that were uncovered in the underlying PCT Application. A copy of the Search Report accompanies this Preliminary Amendment.

Applicants assert that the subject matter of the present application is new, non-obvious, and useful. Prompt consideration and allowance of the application are respectfully requested.

Respectfully Submitted,

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MODEL-BASED LANE ALLOCATION FOR VEHICLES

[Background Information] Field Of The Invention

The present invention relates to a method for the lane allocation of consecutive vehicles. In the past couple of years, numerous publications have become known that address an automatic regulation of the speed of a vehicle while taking into

5   consideration the distance to vehicles driving ahead. Such systems are often referred to as adaptive cruise control (ACC).

Background Information

A fundamental description of such a device is included, for example, in the paper

10   "Adaptive Cruise Controls - System Aspects and Development Trends," given by Winner, Witte et al., at SAE 96, February 26 to 29, 1996 in Detroit (SAE Paper No. 961010). To detect vehicles traveling ahead and stationary as well as moving objects, the majority of the known systems use a microwave radar beam or an infrared lidar beam. This beam is reflected by the objects and received by the

15   sensor, thereby making it possible to determine the relative position and relative speed of the object. The future travel-path area of the vehicle can be predicted from this information, as is described in detail in German Patent No. DE 197 22 947 C1.

Summary Of The Invention

20   It is [the] an object of the present invention to make it possible to detect a lane from reflected signals as well as to detect one's own lane of travel, and, if applicable, to detect horizontal misalignment. Advantageously, the adaptive vehicle speed controller can be adjusted to multi-lane roads because there are typically vehicles traveling in succession on such roads. By lane detection as well as by detecting

25   one's own lane of travel, the moving objects located in front of one's own vehicle can be allocated to the appropriate lanes. By allocating these objects to the lanes, the target object traveling directly ahead can be reliably determined, the target object's

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speed and acceleration determining the driving behavior of one's own, sensor-controlled vehicle. This lane allocation is carried out in that reference models for roads having many different lanes as well as for the navigation of the different lanes are stored in a memory of the sensor. By inputting the acquired measured data in a lateral displacement histogram in which the frequency distribution of the lateral displacements of the individual objects are entered, this instantaneous measuring diagram can be correlated with the stored reference models. The reference model having the greatest similarity to the instantaneous measuring diagram provides information as to how many lanes the road has and in which lane the vehicle is currently located. This result is output as a so-called lane hypothesis. By evaluating the lateral displacements of the reflection objects as a function of their longitudinal distance, i.e., the distance between the sensor and the reflection object, which extends parallel to the center axis of the vehicle, a misalignment can be detected. [The] An advantage of [this] the present invention is to output a lane hypothesis via this simple analysis method of sensor data and to detect a potentially existing sensor misalignment.

Brief Description Of The Drawings [of the Drawing

An example of the present invention is shown in the drawing and is described and explained in more detail below.]
Figure 1 shows a block diagram of the model-based lane and misalignment detection[, and].

Figure 2 shows design approaches for the lane determination and misalignment detection of the sensor.

Detailed Description [of the Exemplary Embodiment]
It is essential for a frequency distribution of the lateral displacements of detected radar objects to be determined. A radar object is an object confirmed, in each case,

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from one measurement to the next measurement by comparing predicted distance, lateral displacement, and relative speed data to ascertained measured data. The following treatments of the radar object data have proven to be effective: (a) initial filtering, i.e., every radar object is only taken into consideration once for the lateral

5   displacement histogram; or (b) weighting the individual objects in the histogram as a function of the number of individual measurements of the individual radar objects. Displacement (dyv), which relates to the vehicle center, or, to compensate for changes in lateral displacement due to cornering, lateral displacement (dyc), which relates to the course of the ACC vehicle, can be used as the input quantity, lateral

10   displacement. The determined frequency distribution is correlated to a model for frequency distributions relating to lane allocation for multi-lane roads (e.g. 3 lanes) having a defined width or, alternatively, to characteristic lateral displacement histograms for the different lanes used by the ACC vehicle. The model part having the highest correlation to the determined frequency distribution is output as the lane

15   hypothesis (number of lanes and one's own lane of travel).

Figure 1 shows a model-based lane and misalignment detection. In block 1 of the flow chart, the radar object data, such as distance, relative speed, and lateral displacement, are acquired from the measured data of the radar sensor. In a next

20   step, these are filtered in an object filter represented as block 2. This filtering can be carried out in different ways. Advantageously, this is performed either in that every object is only taken into consideration once for the lateral displacement histogram or in that every object is taken into consideration with a weighting, the weighting being dependent upon how many times an object was detected in individual

25   measurements. This filtered data is then registered in a lateral displacement histogram represented in block 3. The frequency of the filtered object data is stored in the lateral displacement histogram as a function of the measured lateral displacement of the vehicle's longitudinal axis. Lane models, which are used as reference histograms, are stored in block 4. These reference histograms are either

30   model-like lane models or empirically obtained lane models. An individual,

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characteristic reference histogram is stored for every type of road, whether it has oncoming traffic or not and whether it has one or more lanes in one direction, and for the use of each lane. In block 5, the instantaneously determined, current lateral displacement histogram from block 3 is correlated to every reference model stored in block 4. The result of every correlation from the instantaneous lateral displacement histogram to one of the reference models is a correlation result that increases as the similarity of the instantaneous lateral displacement histogram to the reference histogram increases. By selecting the reference histogram having the highest correlation result in block 5, the number of lanes, the used lane, as well as a possible misalignment of the radar sensor can be deduced. In block 6, this acquired information is output and made available for further processing. The flow chart shown in Figure 1 is arbitrarily run through many times, i.e., when a lane hypothesis and, if applicable, a sensor misalignment are determined in block 6, the sequence begins again in that new radar data is processed in block 1 as described. According to the number of detected lanes and their relative position with respect to one's own vehicle, a histogram having a plurality of maxima is obtained in block 3. The horizontal misalignment of the radar sensor can be determined from the position of the average values for the lanes in the histogram with respect to the vehicle center axis. For this purpose, in addition to lateral displacement dyv or, alternatively, dyc, a further histogram regarding the distance of the observed object must be stored with equivalent object treatment (type (a) or (b)), and a misalignment angle must be determined by determining the centroid of the histograms.

Figure 2 shows a flow chart suitable for lane analysis and misalignment detection of a radar sensor. In block 7, it is detected whether the vehicle is on a straight road segment. A yaw rate signal coming, for example, from a sensor for vehicle dynamics control can be used for this purpose. Furthermore, it is conceivable to also take a steering angle into consideration. If this yaw rate signal is less than 0.001 rad/s, it can be concluded that the vehicle is traveling on a straight road segment. In this case, the amplitudes are filtered in block 8 in order to only detect actual radar

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reflections and to eliminate noise. In block 9, these measured points are represented in an x,y diagram. In block 10, the frequency with which the objects were detected by the radar beam can be determined from the x,y diagram. In block 11, a distribution of the detected objects on the road can be modeled from this x,y diagram by generating a lateral distribution histogram. The displacement of the model produced in block 11 is then determined in block 12, the displacement making it possible to deduce the lateral deviation of one's own vehicle in the lane of travel. In block 13, the instantaneous lateral displacement histogram is compared to the previous histogram. A lane hypothesis identifying the lane currently being used can be output [is] in block 14 by observing the changes in the data record in block 13. If it is detected in block 7 that the vehicle is on a straight road segment, the angle of the dominant object located in front of one's own vehicle is determined in block 23. The dominant object is advantageously the vehicle traveling in the same lane as one's own vehicle and having the least distance to one's own vehicle, therefore being decisive for the distance and speed control of [own's] one's own vehicle. Block 24 checks whether the angle of the dominant object determined in block 23 is approximately 0° as an average in time. If this condition of block 24 is met, a verification of the current data using old data from previous measurements is carried out together with the frequencies from the x,y diagram determined in block 10. If the current data is plausible on the basis of the verification performed in block 25, this data is used in the further course to determine a possible misalignment of the radar sensor in that this data is relayed to block 18. In block 19, an object that has been locked onto is then determined from the x, y diagram of the filtered objects determined in block 9. This locked object is a vehicle traveling directly ahead, whose distance to one's own vehicle and whose relative speed in relation to [own's] one's own vehicle are used for the distance and speed control. The position of this locked object is also relayed to block 18 to determine a possible misalignment. At the same time as step 19, the driving line centroids can be determined in block 15 from the x,y diagram of block 9. These driving line centroids represent the lateral displacement of the trajectories of vehicles moving in the middle of a particular lane. In block 17, it

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can be detected from these driving line centroids whether the objects in the radar detection range are moving parallelly to one's own vehicle, which is of particular interest for lane change maneuvers. Concurrently with this step, the dominant object can be separately observed in block 16 from the driving line centroids of step 15 and

5   supplied to block 17, in that it is detected whether the detected objects are moving in a direction parallel to one's own vehicle. The information acquired in step 17 regarding the parallelism of the detected objects is supplied to the misalignment detection of the radar sensor in block 18. It is further advantageous in the case of a straight road segment detected in block 7 to determine the parallel speeds from the

10   present radar data such as angular velocity and relative speed, as shown in block 20. These parallel speeds are the speeds of the detected objects in relation to one's own vehicle. In block 21, the new positions of the detected radar objects are then precalculated from these parallel speeds on the basis of the objects' old positions and trajectories. These precalculated targets are compared to the new measured

15   data of the next measuring cycle and checked for plausibility. In step 22, a static centroid of the lateral displacements is determined from the data acquired in step 21, the centroid being supplied to block 18 and used there to determine a possible sensor misalignment. Block 26 then shows that a float angle of the vehicle is determined from the radar measurement. This is performed by monitoring the

20   distances and the relative speeds of the radar objects. In a further step in block 27, the float angle of the vehicle is determined via a further device. This is advantageously carried out by using driving dynamics quantities from a device for driving dynamics control, which is already standard in most vehicles. The two float angles calculated in steps 26 and 27 are compared to one another in block 28, and

25   a possibly existing difference between these two quantities is relayed to the sensor misalignment detection in block 18.

The flow chart shown in Figure 2 partially includes a plurality of procedures and design approaches for determining a quantity. Thus, the determination of a

30   misalignment (18) using a plurality of possibilities is shown. According to the present

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invention, it is sufficient to use in each case one of the indicated procedures to determine a lane or a sensor misalignment. It is also conceivable to combine two or more procedures, the individual results being capable of being compared to one another and checked for plausibility.

Abstract Of The Disclosure

A [Proposed is a] method as well as a device for the lane allocation of consecutive vehicles, the lane allocation being carried out in a model-based manner via a frequency distribution of the lateral displacements of detected radar objects. The method is additionally used for detecting the misalignment of the sensor.

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[(Fig. 1)

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MODEL-BASED LANE ALLOCATION FOR VEHICLES

Field Of The Invention

The present invention relates to a method for the lane allocation of consecutive
vehicles. In the past couple of years, numerous publications have become known
that address an automatic regulation of the speed of a vehicle while taking into
5   consideration the distance to vehicles driving ahead. Such systems are often
referred to as adaptive cruise control (ACC).

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A fundamental description of such a device is included, for example, in the paper
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25   one's own lane of travel, the moving objects located in front of one's own vehicle can
be allocated to the appropriate lanes. By allocating these objects to the lanes, the
target object traveling directly ahead can be reliably determined, the target object's

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speed and acceleration determining the driving behavior of one's own, sensor-controlled vehicle. This lane allocation is carried out in that reference models for roads having many different lanes as well as for the navigation of the different lanes are stored in a memory of the sensor. By inputting the acquired measured

5   data in a lateral displacement histogram in which the frequency distribution of the lateral displacements of the individual objects are entered, this instantaneous measuring diagram can be correlated with the stored reference models. The reference model having the greatest similarity to the instantaneous measuring diagram provides information as to how many lanes the road has and in which lane

10   the vehicle is currently located. This result is output as a so-called lane hypothesis. By evaluating the lateral displacements of the reflection objects as a function of their longitudinal distance, i.e., the distance between the sensor and the reflection object, which extends parallel to the center axis of the vehicle, a misalignment can be detected. An advantage of the present invention is to output a lane hypothesis via

15   this simple analysis method of sensor data and to detect a potentially existing sensor misalignment.

Brief Description Of The Drawings
Figure 1 shows a block diagram of the model-based lane and misalignment

20   detection.

Figure 2 shows design approaches for the lane determination and misalignment detection of the sensor.

25   Detailed Description
It is essential for a frequency distribution of the lateral displacements of detected radar objects to be determined. A radar object is an object confirmed, in each case, from one measurement to the next measurement by comparing predicted distance, lateral displacement, and relative speed data to ascertained measured data. The

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Figure 1 shows a model-based lane and misalignment detection. In block 1 of the flow chart, the radar object data, such as distance, relative speed, and lateral displacement, are acquired from the measured data of the radar sensor. In a next step, these are filtered in an object filter represented as block 2. This filtering can be carried out in different ways. Advantageously, this is performed either in that every object is only taken into consideration once for the lateral displacement histogram or in that every object is taken into consideration with a weighting, the weighting being dependent upon how many times an object was detected in individual measurements. This filtered data is then registered in a lateral displacement histogram represented in block 3. The frequency of the filtered object data is stored in the lateral displacement histogram as a function of the measured lateral displacement of the vehicle's longitudinal axis. Lane models, which are used as reference histograms, are stored in block 4. These reference histograms are either model-like lane models or empirically obtained lane models. An individual, characteristic reference histogram is stored for every type of road, whether it has oncoming traffic or not and whether it has one or more lanes in one direction, and for the use of each lane. In block 5, the instantaneously determined, current lateral

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displacement histogram from block 3 is correlated to every reference model stored in block 4. The result of every correlation from the instantaneous lateral displacement histogram to one of the reference models is a correlation result that increases as the similarity of the instantaneous lateral displacement histogram to the reference

5 histogram increases. By selecting the reference histogram having the highest correlation result in block 5, the number of lanes, the used lane, as well as a possible misalignment of the radar sensor can be deduced. In block 6, this acquired information is output and made available for further processing. The flow chart shown in Figure 1 is arbitrarily run through many times, i.e., when a lane hypothesis

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15 the average values for the lanes in the histogram with respect to the vehicle center axis. For this purpose, in addition to lateral displacement dyv or, alternatively, dyc, a further histogram regarding the distance of the observed object must be stored with equivalent object treatment (type (a) or (b)), and a misalignment angle must be determined by determining the centroid of the histograms.

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distribution of the detected objects on the road can be modeled from this x,y diagram by generating a lateral distribution histogram. The displacement of the model produced in block 11 is then determined in block 12, the displacement making it possible to deduce the lateral deviation of one's own vehicle in the lane of travel.

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Abstract Of The Disclosure

A method as well as a device for the lane allocation of consecutive vehicles, the lane allocation being carried out in a model-based manner via a frequency distribution of the lateral displacements of detected radar objects. The method is additionally used for detecting the misalignment of the sensor.

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